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**Art Unit: 2612**

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**From : Winston Hsu, Registration No. 41,526**

**Serial No.: 10/707,353**

**Attorney Docket No.: PMXP0173USA**

**Subject: Information Disclosure Statement (IDS)**

**Total Pages: 40 pages (including cover page)**

**Winston Hsu 2006/02/10**

**PMXP0173USA0\_D1\_1**

PTO/SB/21 (09-04)

Approved for use through 07/31/2006 OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

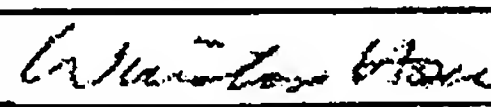
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|   |                      |                        |             |
|---|----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | Application Number   | 10/707,353             |             |
|   | Filing Date          | 12/08/2003             |             |
|   | First Named Inventor | Yu-Chieh Lin           |             |
|   | Art Unit             | 2612                   |             |
|   | Examiner Name        | GARBER, WENDY RAE      |             |
| Total Number of Pages in This Submission  | 39                   | Attorney Docket Number | PMXP0173USA |

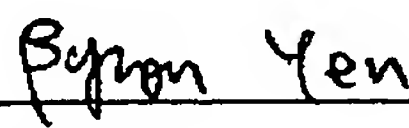
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| ENCLOSURES (Check all that apply)   |   |   |
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| <input checked="" type="checkbox"/> Fee Transmittal Form                  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> Other Enclosure(s) (please identify below):                    |
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|   | <input type="checkbox"/> Landscape Table on CD  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | Remarks   |   |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application    |   |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |   |         |        |
|--------------|---|---------|--------|
| Firm Name    | North America Intellectual Property Corporation                                     |         |        |
| Signature    |  |         |        |
| Printed name | Winston Hsu   |         |        |
| Date         | 02/10/2006  | Reg No. | 41,526 |

**CERTIFICATE OF TRANSMISSION/MAILING**

|   |   |      |            |
|---|---|------|------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |   |      |            |
| Signature   |  |      |            |
| Typed or printed name   | Byron Yen   | Date | 02/10/2006 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (12-04v2)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |  |   |  |
|---|--|---|--|
| Effective on 12/08/2004<br>Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818)<br><b>FEE TRANSMITTAL</b><br><b>For FY 2005</b> |  | <b>Complete if Known</b><br>Application Number 10/707,353<br>Filing Date 12/08/2003<br>First Named Inventor Yu-Chieh Lin<br>Examiner Name GARBER, WENDY RAE<br>Art Unit 2612<br>Attorney Docket No. PMXP0173USA |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27  |  |   |  |
| <b>TOTAL AMOUNT OF PAYMENT</b> (\$) 0.00  |  |   |  |

**METHOD OF PAYMENT** (check all that apply)

☐ Check 
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 ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account 
 Deposit Account Number: **50-3105** 
 Deposit Account Name: North America Intellectual Property Corporation

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below 
 ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 
 ☒ Credit any overpayments

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**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES           |                       | SEARCH FEES           |                       | EXAMINATION FEES      |     | Fees Paid (\$) |
|------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----|----------------|
|                  | Small Entity Fee (\$) | Small Entity Fee (\$) | Small Entity Fee (\$) | Small Entity Fee (\$) | Small Entity Fee (\$) |     |                |
| Utility          | 300                   | 150                   | 500                   | 250                   | 200                   | 100 |                |
| Design           | 200                   | 100                   | 100                   | 50                    | 130                   | 65  |                |
| Plant            | 200                   | 100                   | 300                   | 150                   | 160                   | 80  |                |
| Reissue          | 300                   | 150                   | 500                   | 250                   | 600                   | 300 |                |
| Provisional      | 200                   | 100                   | 0                     | 0                     | 0                     | 0   |                |

**2. EXCESS CLAIM FEES**

| Fee Description                                    | Small Entity Fee (\$) | Small Entity Fee (\$) |
|--|-----------------------|-----------------------|
| Each claim over 20 (including Reissues)            | 50                    | 25                    |
| Each independent claim over 3 (including Reissues) | 200                   | 100                   |
| Multiple dependent claims                          | 360                   | 180                   |

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**  
 \_\_\_\_\_ - 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**  
 \_\_\_\_\_ - 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|--------------|--------------|--|----------|---------------|
| _____        | _____        | _____  | _____    | _____         |

\_\_\_\_\_ - 100 = \_\_\_\_\_ / 50 \_\_\_\_\_ (round up to a whole number) x \_\_\_\_\_ = \_\_\_\_\_

**4. OTHER FEE(S)**

|   | Fees Paid (\$) |
|---|----------------|
| Non-English Specification, \$130 fee (no small entity discount)                     |                |
| Other (e.g., late filing surcharge): submission of Information Disclosure Statement | 0.00           |

|                               |  |                         |                      |
|-------------------------------|--|-------------------------|----------------------|
| <b>SUBMITTED BY</b>           |  | Registration No. 41,526 | Telephone 3027291562 |
| Signature <i>Winston Hsu</i>  |  | (Attorney/Agent)        |                      |
| Name (Print/Type) Winston Hsu |  | Date 02/10/2006         |                      |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (07-05)

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Substitute for form 1448/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

I

of

1

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/707,353        |
| Filing Date            | 12/08/2003        |
| First Named Inventor   | Yu-Chieh Lin      |
| Art Unit               | 2612              |
| Examiner Name          | GARBER, WENDY RAE |
| Attorney Docket Number | PMXP0173USA       |

U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04 <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3) <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS** SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yu-Chieh Lin

Appl. No.: 10/707,353

Filing Date: 12/08/2003

Examiner: GARBER, WENDY RAE

Art Unit: 2612

Docket No.: PMXP0173USA

Confirmation No.: 1352

Title: AV DEVICE HAVING AN OPTIMIZATION PROGRAM AND METHOD  
FOR OPTIMIZING AV SIGNALS

To: Commissioner for Patents  
P.O. BOX 1450  
Alexandria, VA 22313-1450

Subject: Information disclosure statement under 37  
CFR §1.56

Dear Sir,

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR §1.56. The applicant wishes to make of record the document(s) listed on the accompanying form PTO/SB/08.

Since this IDS is filed before the mailing date of the first Office action, consideration of the information disclosure statement is hereby requested according to 37 CFR §1.97(b).

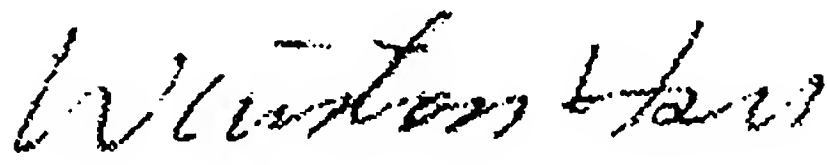
That each item of information contained in the information

disclosure statement was first cited in an Office communication mailed on January 06, 2006 for the counterpart Korea patent application number 2004-3719, which are no more than three months prior to the filing of the information disclosure statement.

According to the requirement set forth in 37 CFR §1.98(a)(2), the applicant is submitting a copy of the cited International patent publication no. WO 00/13132 to fulfill the concise explanation requirement.

It is respectfully requested that the examiner can consider the document(s) listed on the accompanying form PTO/SB/08 and that it be made of record in the application. The applicants sincerely hope that the examiner initials the cited reference(s) on the form and that a copy of the initialed form be sent to the applicants with the next communication from the examiner.

Respectfully submitted,



Date: February 10, 2006

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

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